

## **Slavery and Human Trafficking Statement for thyssenkrupp AG and its Subsidiaries**

This statement is made pursuant to section 54 of the United Kingdom Modern Slavery Act 2015.

thyssenkrupp Group is a diversified industrial company operating in more than 80 countries worldwide. The holding company of the thyssenkrupp Group is thyssenkrupp AG.

thyssenkrupp AG is a signatory to the United Nations Global Compact and supports the United Nations Universal Declaration of Human Rights and the core labor standards of the International Labor Organization (ILO). thyssenkrupp Group is committed to eradicate slavery and human trafficking in its supply chain and own operations and our efforts to achieve this include the following:

### **Own Operations**

#### *thyssenkrupp Code of Conduct*

The main principles and rules governing our actions as well as the standards we set ourselves in our dealings with business partners and stakeholders are summarized in the thyssenkrupp Code of Conduct. These include the prohibition of child labor, forced labor, and any violation of the core labor standards. The thyssenkrupp Code of Conduct is communicated globally throughout the whole thyssenkrupp Group and is valid for all employees and executives.

#### *International Framework Agreement*

In 2015 the thyssenkrupp AG, the Group works council, the metalworkers' union IG Metall, and the global union federation "IndustriALL Global Union" entered into an International Framework Agreement (IFA) on global minimum labor standards in the Group. The IFA includes principles on occupational safety and health, opportunities for career and personal development, the right to fair pay, and the prohibition of child and forced labor and all forms of discrimination.

#### *Online reporting system*

To record potential infringements, if any, an online reporting system is available. All employees and third parties can use the system – with or without revealing their identity – to report cases where standards and principles are not being observed.

An International Committee with representatives from the Group Works Council, the European Works Council and the union is authorized to intervene in the event of infringements or disputes which cannot be resolved locally. In accordance with the International Framework Agreement, incoming reports will be processed in consultation between the International Committee and thyssenkrupp AG.

## Supply Chain

### *thyssenkrupp Supplier Code of Conduct*

In the thyssenkrupp Supplier Code of Conduct we outline the expectations we have towards our suppliers with respect to human rights and labor conditions (including a ban on modern slavery and human trafficking), health & safety, environmental protection and business ethics. We strive to work only with suppliers whose business activities fully comply with the principles of the thyssenkrupp Supplier Code of Conduct and who signed the related documents.

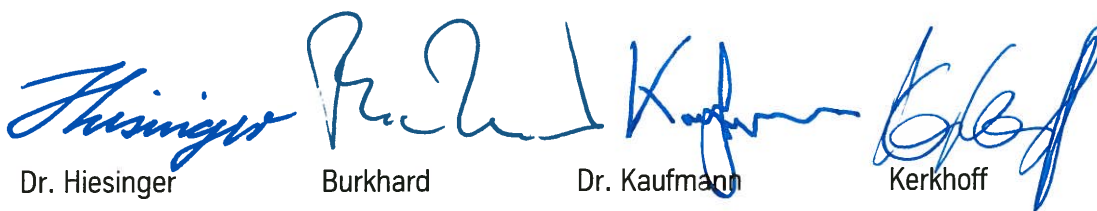
### *Risk based Sustainability Audits*

We regularly conduct risk analyses for our supply chain where we consider country and sector risks as well as other criteria. If this analysis shows that there is an elevated risk level regarding specific suppliers, then we strive to conduct a risk-based sustainability audit. It is one of our group wide Indirect Financial Targets to conduct a minimum of 100 supplier sustainability audits annually regarding policies, management practices and performance in all topics of the thyssenkrupp Supplier Code of Conduct. In Fiscal Year 2015/2016, 185 suppliers were audited. The audits are being performed by an independent and specialized audit company.

We will continue to update our regulations and procedures as required to ensure they maintain appropriate safeguards against any mistreatment of persons involved in our supply chain or own business.

## **thyssenkrupp AG**

November 2016



Dr. Hiesinger      Burkhard      Dr. Kaufmann      Kerkhoff